

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

## UTILITY PATENT APPLICATION TRANSMITTAL

*Exempt for new nonprovisional applications under  
37 C.F.R. 1.53(b)*

Attorney Docket No.

SAB92-01A2A

First Named Inventor or  
Application Identifier

Steven A. Bogen

Express Mail Label No.

EL 136698330 US

Title of  
Invention

DISPENSING ASSEMBLY WITH INTERCHANGEABLE CARTRIDGE PUMPS

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 19]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to microfiche Appendix
  - Background of the Invention
  - Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 12]

4. ☒ Oath or Declaration/POA [Total Pages 3]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[NOTE Box 5 below]
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy  
of the oath or declaration is supplied under Box 4b, is considered  
as being part of the disclosure of the accompanying application  
and is hereby incorporated by reference therein.

### ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents)
9. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (2)  
(Should be specifically itemized)
14. ☒ Small Entity ☐ Statement filed in prior application,  
Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other:.....

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 08 / 887,178  
Prior application information: Examiner: J. Jacyna Group Art Unit: 3751

### 18. CORRESPONDENCE ADDRESS

NAME

James M. Smith, Esq.  
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

ADDRESS

Two Militia Drive

CITY

Lexington

STATE

MA

ZIP CODE

02421-4799

COUNTRY

USA

TELEPHONE

(781) 861-6240

FAX

(781) 861-9540

Signature



Date

12/4/98

Submitted by

Typed or Printed Name

James M. Smith, Esq.

Reg. Number

28,043

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

<b>FEE TRANSMITTAL FOR PATENT APPLICATIONS</b>		Attorney Docket Number		SAB92-01A2A	
		Application Number			
		First Named Inventor		Steven A. Bogen	
CLAIM CALCULATION (includes any preliminary amendment)					
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS <small>(37 CFR 1.16(c) or (j))</small>	4 - 20 =	0	x \$ 18 =	\$ 0
	INDEPENDENT CLAIMS <small>(37 CFR 1.16(b) or (j))</small>	1 - 3 =	0	x \$ 78 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) <small>(37 CFR 1.16(d))</small>			+ \$ 260 =	\$
				BASIC FEE <small>(37 CFR 1.16(a))</small>	\$ 760
				Total of above Calculations =	\$ 760 .
				Reduction by 50% for filing by small entity <small>(37 CFR 1.9, 1.27, 1.28)</small> =	\$ 380 .
				TOTAL =	\$ 380 .
				Surcharge - Late Filing of Declaration or Filing Fees <small>(37 C.F.R. 1.16(e))</small> =	\$
				Petition for Extension of Time Fee <small>(37 C.F.R. 1.17)</small> =	\$
				Assignment Recordation Fee = <small>(only when filed with application)</small>	\$ 40 .
				TOTAL =	\$ 420 .
<p>* Reissue claims in excess of 20 and over original patent.</p> <p>** Reissue independent claims over original patent</p>					
<p>1. Small entity status:</p> <p>a. <input checked="" type="checkbox"/> A small entity statement is enclosed.</p> <p>b. <input type="checkbox"/> A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.</p> <p>c. <input type="checkbox"/> Is no longer claimed.</p> <p>2. <input checked="" type="checkbox"/> A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.</p> <p>3. <input checked="" type="checkbox"/> A check is enclosed for \$ 420 . <input type="checkbox"/> Please charge \$ _____ to Deposit Account No. 08-0380.</p> <p>4. <input type="checkbox"/> Other: _____</p>					
Signature		Date		12/4/98	
Submitted by Typed or Printed Name		James M. Smith, Esq.		Reg. Number 28,043	